



Open Forum Discussion

FTA/IRS Safeguard Symposium
April 1, 2008
St Louis

1



Agenda

- Incident Reporting
- Preparing for an on-site review
- Tracking results of data
- Ways to improve agency-Safeguards partnership

2



Incident Reporting

- New Pub 1075 includes breaches and security incidents in Section 10 requirements
- Contact TIGTA as soon as identify breach that **MAY** involve FTI – don't wait until investigation complete
- Working with internal functions to identify potential secondary reporting requirement
- IRS required to notify impacted TP in certain circumstances

3



Preparing for On-site Review

- Involve correct agency personnel, especially IT security officer, as early as possible
- Review guidance and tools posted on IRS.gov (applicable SCSEMs)
- Review previous corrective actions from SRR as well as feedback on latest SAR and SPR

4



Tracking Results of Data

- Will ask agency ROI from using FTI, especially in compliance arena
- Part of “need and use” discussion


5



Ways to Improve Partnership Between States & Safeguards

Suggestions?

6



Questions or Other Topics?

7