



# Process Changes for On-Site Computer Reviews

FTA/IRS Computer Security Conference  
April 3, 2008  
St Louis

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## Agenda

- Pre-planning
- Automation tools
- Self Assessment

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## Preparing for On-site Review

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- Involve correct agency personnel, especially IT security officer, as early as possible
  - Establish a relationship with State disclosure officers
  - Chat with security personnel from other states that just completed an on-site safeguard review for lessons learned

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## Preparing for On-site Review (cont)

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- Actively participate on Preliminary Security Evaluation (PSE) calls
  - Discussion of State's platforms, hardware, software, data centers
  - Compile data requested in information request from computer security specialist conducting review before they arrive

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## Preparing for On-site Review (cont)

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- Review guidance and tools posted on IRS.gov (applicable SCSEMs)
  - Management, Operational & Technical SCSEM ~ mandatory for all reviews
  - Technical SCSEMs based upon platform and application

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## Preparing for On-site Review (cont)

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- Review corrective actions from previous SRR as well as feedback on latest SAR and SPR
- Review internal Inspector General reviews, security related recommendations or corrective actions

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## Automation Tools

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- Ongoing effort to secure SCAP tools
  - Determine if free-ware versus paid software better meets IRS and agency needs
  - Determine thresholds for pass/fail
  - Identify controls that will become corrective action, regardless to overall pass/fail, due to critical nature

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## SCAP Tool Implementation

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- Expect final determinations and decisions on purchase versus freeware later in summer
- Release specific guidance via IRS.gov web site and, potentially, phone forums
- Begin using tools early in FY09
- Address States' concerns via TAG SS

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## Self Assessment Tool

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- Discussed Tool with TAG SS
- Determining range of implementation options
- Address States' concerns via TAG SS

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# Questions?

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