
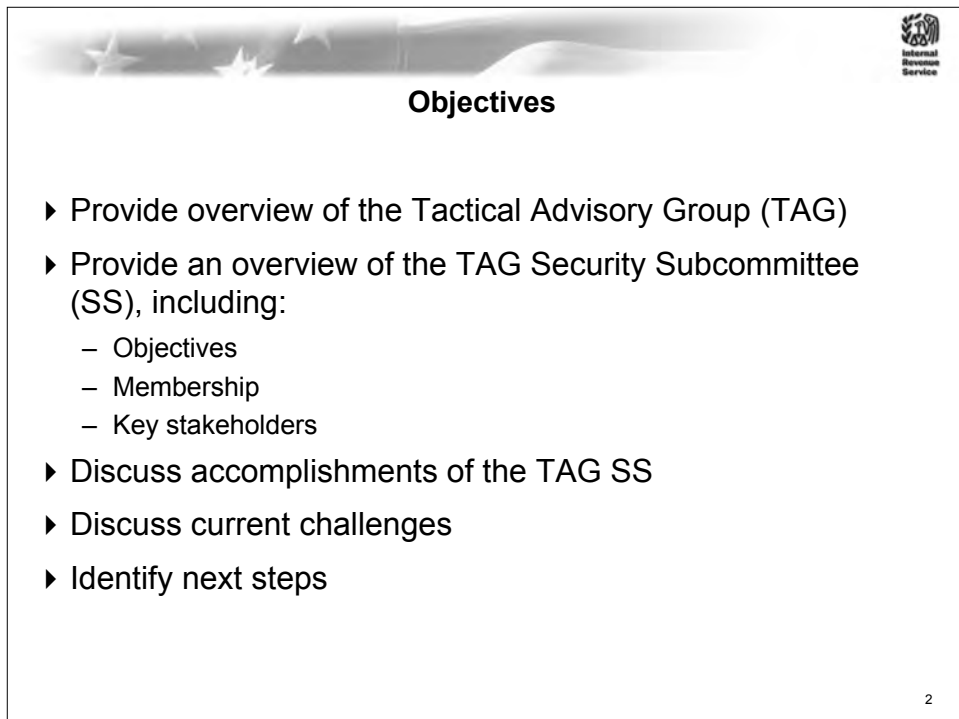





**Tactical Advisory Group (TAG) Security Subcommittee**

March 8, 2007  
Presentation to the Federation Tax Administrators Computer Security Officer Conference  
Dr. Ellen Pieklo



**Objectives**

- ▶ Provide overview of the Tactical Advisory Group (TAG)
- ▶ Provide an overview of the TAG Security Subcommittee (SS), including:
  - Objectives
  - Membership
  - Key stakeholders
- ▶ Discuss accomplishments of the TAG SS
- ▶ Discuss current challenges
- ▶ Identify next steps

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## Tactical Advisory Group (TAG)

- ▶ Established in 2003 for the IRS to partner with the Federation of Tax Administrators
  - Are six state representatives
  - Are IRS representatives from Wage & Investment, Small Business/Self Employed, Mission Assurance & Security Services and Electronic Tax Administration
  - Host monthly phone conference calls
  - Meet 3 times annually, face to face
- ▶ Establishes Stakeholder Relationship between Federation of Tax Administrators & Internal Revenue Service (IRS)

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## TAG Initiatives

- ▶ Focuses on key tax initiatives, including
  - Developing recommendations for tape exchange
  - Developing recommendations for e-services
  - Providing electronic filing to the American public
  - Providing electronic payment capabilities
  - Facilitating multi Lingual Initiatives to increase electronic filing among non English speaking populations
  - Providing oversight and approval to the TAG Security Subgroup initiatives

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## TAG Security Subcommittee (TAG SS)

- ▶ Established in 2006 to complement the TAG e-file initiatives
- ▶ Establishes Stakeholder Relationship between Federation of Tax Administrators & Internal Revenue Service (IRS)
- ▶ Focuses on security related e-file issues, resulting from TAG initiatives
- ▶ Ensures the integration of security throughout the development and life cycle of state taxation agencies, as these apply to processing of Federal Tax Information (FTI)

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## State Membership

| State         | Contact Name    | Office                                   | Contact Phone | E-Mail   |
|---------------|-----------------|--|---------------|--|
| California    | Patrick McGuire | Information Security: CA                 | 916-845-6413  | <a href="mailto:Patrick.McGuire@ftb.ca.gov">Patrick.McGuire@ftb.ca.gov</a>         |
| Connecticut   | James Norton    | Tax Division Chief: CT                   | 860-297-5608  | <a href="mailto:james.norton@po.state.ct.us">james.norton@po.state.ct.us</a>       |
| Kansas        | Stan Wiechert   | IS Security Officer: KS                  | 785-296-3900  | <a href="mailto:stan_wiechert@kdor.state.ks.us">stan_wiechert@kdor.state.ks.us</a> |
|               | Tim Blevins     | CIO: KS                                  | 785-296-5041  | <a href="mailto:trb@kdor.state.ks.us">trb@kdor.state.ks.us</a>                     |
| Massachusetts | Dennis Gilleran | IS Security: MA                          | 617-887-5256  | <a href="mailto:gillerand@dor.state.ma.us">gillerand@dor.state.ma.us</a>           |
| So. Carolina  | Terry Garber    | Manager, New Application Development: SC | 803-898-5521  | <a href="mailto:garbert@sctax.org">garbert@sctax.org</a>                           |
| Wisconsin     | Patrick Dooley  | IS Security, WI Department of Revenue    | 608-264-6867  | <a href="mailto:pdooley@dor.state.wi.us">pdooley@dor.state.wi.us</a>               |

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## IRS Membership

| Org | Contact Name      | Office               | Contact Phone | E-Mail   |
|-----|-------------------|----------------------|---------------|--|
| IRS | Peter Maser       | W&I                  | 202-283-2731  | <a href="mailto:Peter.e.maser@irs.gov">Peter.e.maser@irs.gov</a>             |
| IRS | Matthew McCormack | MITTS                | 202-283-2843  | <a href="mailto:Matthew.l.mccormack@irs.gov">Matthew.l.mccormack@irs.gov</a> |
| IRS | Ellen Pieklo      | MA&SS                | 585-262-1185  | <a href="mailto:Ellen.o.pieklo@irs.gov">Ellen.o.pieklo@irs.gov</a>           |
| IRS | Werner Hey        | MA&SS<br>Safeguards  | 202-622-5141  | <a href="mailto:Werner.k.hey@irs.gov">Werner.k.hey@irs.gov</a>               |
| IRS | Devon Bryan       | MA&SS<br>IT Security | 202-283-7271  | <a href="mailto:Devon.bryan@irs.gov">Devon.bryan@irs.gov</a>                 |
| IRS | David Silverman   | GLD                  | 202-622-3607  | <a href="mailto:David.silverman@irs.gov">David.silverman@irs.gov</a>         |

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## TAG SS Accomplishments

- ▶ Established a cooperative working relationship between the state organizations and IRS
- ▶ Provided feedback from the state organizations to the new version of the Publication 1075, Safeguarding Federal Taxpayer Information
- ▶ Provided feedback to the security checklists being used for Safeguard reviews
  - Windows
  - Unix
  - Network configurations

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## TAG SS Accomplishments

- ▶ Created Best Pick IT Security Tools, providing a list of tools successfully used by IRS and states to manage security
- ▶ Created Guiding Information Technology Security Commandments
  - Used Material Weakness issues
  - Took data warehousing issues
  - Create a “how to do it right ” approach
- ▶ Created and began using a Computer Security “Point of Contact” list
- ▶ Jointly addressed a potential security incident
  - Met with FTA and IRS
  - Gathered information, identified potential causes, next steps, etc.
  - Notified the Treasury Inspector General of Tax Administration (TIGTA)
  - Working to provide TIGTA with necessary information

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## TAG SS Accomplishments

- ▶ Briefed the FTA members of Computer Security issues in 2006
- ▶ Hosted the first Computer Security Officer Conference, in 2007
- ▶ Reviewed and revised TAG SS Charter, at the end of the first year
  - Aligned more closely to TAG objectives
- ▶ Continue to address common concerns and facilitate communication between state organizations and IRS

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## TAG SS Has Improved Communication Enables Better Integration of Security Controls 2007

2003



From This....



To This...

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### 2007 Challenges

- ▶ Providing feedback and developing secure electronic transfer of FTI using new Secure Electronic Transport products, from the IRS
  - Coordinating through FTA with IRS/GLD Secure Transport Implementation Team
- ▶ Standardizing and effectively using secure email among the states and between states & IRS
- ▶ Ensuring collectively that FTI or PII data at rest, in all forms, is encrypted and secure
- ▶ Enabling better transaction error and event logging in all mission critical FTI related systems for better auditing and security management
- ▶ Ensuring 1075 addresses all controls in NIST Publication 800-53, *Recommended Security Controls for Federal Information Systems*
- ▶ Assessing the level of compliance required to meet the intent of the Office of Management & Budget memos

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## Questions

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- ▶ Tim Blevins, Kansas CIO, Co-Chair, TAG SS; Co-Chair, TAG
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